

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q78564

Nobuo UOTANI, et al

Appln. No.: Unknown

Confirmation No.: Unknown

Group Art Unit: Unknown

Filed: May 15, 2006

Examiner: Unknown

For: POLISHING COMPOSITION AND POLISHING METHOD

INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §§ 1.97 and 1.98

MAIL STOP AMENDMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith, except for the following: U.S. patents and/or U.S. patent publications; and co-pending non-provisional U.S. applications filed after June 30, 2003.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date; (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after

INFORMATION DISCLOSURE STATEMENT
Appln. No.: National Stage of PCT/JP2004/017318
Attorney Docket No. Q78564

filing a request for continued examination (RCE) under § 1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicant encloses herewith a copy of an English-language International Search Report from the International Searching Authority in the International Application citing such documents, including at least that portion of the International Search Report indicating the degree of relevance found by the International Searching Authority.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicant submits the following explanations: English language abstracts, submitted herewith, constitute concise statements of relevance for Japanese Patent Application Publication Nos. 10-116490, 8-83780, 2002-134444, 9-55363, and also WO 02/067309. In addition, the listed EP 1 369 906 corresponds to the listed WO 02/067309, the listed U.S. 5,920,500 corresponds to the listed JP 10-116490 and the listed U.S. 5,770,095 corresponds to the listed JP 8-83780. Furthermore, JP 10-116490, JP 8-83780, JP 2002-134444, JP 9-55363, and Up- to-Date CMP Process and Material Technology (published by Technical Information Institute Co., Ltd., 2002) are cited and discussed on pages 2, 3, and 4 of the present specification.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

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~~WASHINGTON~~ 15 MAY 2006

INFORMATION DISCLOSURE STATEMENT
Appln. No.: National Stage of PCT/JP2004/017318
Attorney Docket No. Q78564

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account.

Respectfully submitted,

Sheldon I. Landsman
Sheldon I. Landsman
Registration No. 25,430

SUGHRUE MION, PLLC
Telephone: (202) 293-7060
Facsimile: (202) 293-7860

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Date: May 15, 2006

Substitute for Form 1449 A & B/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 of 1

APPROPRIATE TO 15 MAY 2006

Application Number	National Stage of PCT/IP2004/017318
Confirmation Number	Unknown
Filing Date	May 15, 2006
First Named Inventor	Nobuo UOTANI
Art Unit	Unknown
Examiner Name	
Attorney Docket Number	Q78564

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number	Kind Code ² (if known)		
		US 2002/0095874	A1	07/25/2002	YASUAKI TSUCHIYA, ET AL
		US 5,920,500	A	07/06/1999	SAIED N. TEHRANI, ET AL
		US 5,770,095	A	06/23/1998	YASUTAKA SASAKI, ET AL
		US			
		US			
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		US			
		US			

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Translation ⁶
		Country Code ²	Number ⁴	Kind Code ³ (if known)			
		WO	03/068883	A1	08/21/2003	CABOT MICROELECTRONICS CORPORATION	
		EP	1 118 647	A1	07/25/2001	PRAXAIR S.T. TECHNOLOGY, INC.	
		WO	02/067309	A1	08/29/2002	HITACHI CHEMICAL CO., LTD.	Abstract
		EP	1 369 906	A1	12/10/2003	HITACHI CHEMICAL COMPANY, LTD.	
		JP	10-116490	A	05/06/1998	MOTOROLA INC.	Abstract
		JP	8-83780	A	03/26/1996	TOKYO SHIBAURA ELECTRIC CO.	Abstract
		JP	2002-134444	A	05/10/2002	HITACHI CHEMICAL CO., LTD.	Abstract
		JP	9-55363	A	02/25/1997	TOKYO SHIBAURA ELECTRIC CO.	Abstract

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation ⁶
		UP-TO-DATE CMP PROCESS AND MATERIAL TECHNOLOGY (PUBLISHED BY TECHNICAL INFORMATION INSTITUTE CO., LTD., 2002) PAGE 133	

Examiner Signature

Date Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or follow the hyperlink from the title of the document to the IntraNet. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to indicate here if English language Translation is attached.